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**UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s)                      Stoffel et al.                      Examiner:                      Unassigned

Serial No.:                      10/551,392                      Group Art Unit:                      Unassigned

Confirmation No.:                      Unassigned                      Docket:                      1119-19 PCT/US

Filed:                      September 29, 2005                      Dated:                      January 18, 2007

For:                      METHOD OF INHIBITING  
ADIPOGENESIS AND FOR  
TREATING TYPE 2  
DIABETES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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on January 18, 2007

Signed: Jeru Peterson

**TRANSMITTAL OF REVOCATION OF POWER OF ATTORNEY AND  
APPOINTMENT OF NEW ATTORNEY**

Sir:

Applicants are transmitting herewith a Revocation of Power of Attorney with New  
Power of Attorney and a Statement under 37 C.F.R. 3.73(b) for the above-identified  
application. Applicants request that the records of the Patent Office be revised to reflect that  
the correspondence in connection with this matter be sent to the address shown below  
associated with Customer Number: 23869.

Irving N. Feit, Esq.  
Hoffmann & Baron, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791

In addition, telephone calls can be directed to Applicants' undersigned representative.

Respectfully submitted,

James F. Harrington  
James F. Harrington  
Registration No. 44,741  
Attorney for Applicants

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791  
(516) 822-3550  
JFH/jp  
232043\_1

Doc Code:

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PTO/SB/82 (01-06)

Approved for use through 12/31/2008. OMB 0651-0035

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/551,392
Filing Date	September 29, 2005
First Named Inventor	Markus Stoffel
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	1119-19 PCT/US

**I hereby revoke all previous powers of attorney given in the above-identified application:**

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

23869

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

23869

OR

☐ Firm or  
Individual Name

Address

City

State

ZIP

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71  
Statement under 37CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

Kathleen A. Denis, Ph. D.

Date

1/5/07

Telephone

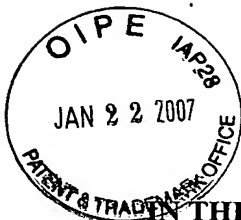
212-327-8266

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Stoffel et al. Examiner: Unassigned  
Serial No.: 10/551,392 Group Art Unit: Unassigned  
Filed: September 29, 2005 Docket: 1119-19 PCT/US  
Confirmation No.: Unassigned Dated:  
For: METHOD OF INHIBITING  
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TREATING TYPE 2 DIABETES

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Signed: Joyce Peterson

**STATEMENT UNDER 37 C.F.R. 3.73(b)**

Sir:

The Rockefeller University, a corporation, states that it is:

1. X the assignee of the entire right, title, and interest; or
2. \_\_\_\_\_ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. [X] An assignment from the inventors, a copy of which is attached, is concurrently  
being filed for recordation in the United States Patent and Trademark Office.

OR

- B. [ ] A chain of title from the inventor(s), of the patent application/patent identified  
above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To:  
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Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to the Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

1/5/07  
Date

Kathleen A. Denis  
Signature

Kathleen A. Denis, Ph.D.  
Typed or printed name

Associate Vice President - Technology Transfer  
Title

ASSIGNMENT	Attorney Docket No.: 11937/US/2	Page 1 of 4
	First Named Inventor: Markus Stoffel	
	Title: METHODS OF INHIBITING ADIPOGENESIS AND FOR TREATING TYPE 2 DIABETES	

WHEREAS, WE, MARKUS STOFFEL, CHRISTIAN WOLFRUM, DAVID Q. SHIH, SATORU KUWAJIMA and DANIEL BESSER, citizens of Germany, Germany, the United States, Japan and Germany, respectively, and having post office addresses at 430 East 66<sup>th</sup> Street, #12E, New York, NY 10021, 500 East 63<sup>rd</sup> Street, #5F, New York, NY 10021, 1230 York Avenue, New York, NY 10021, 500 East 63<sup>rd</sup> Street, #12E, New York, NY 10021 and 500 East 63<sup>rd</sup> Street, #20B, New York, NY 10021, respectively, have invented certain new and useful improvements as described in an application entitled METHODS OF INHIBITING ADIPOGENESIS AND FOR TREATING TYPE 2 DIABETES for Letters Patent of the United States, the application being identified in the United States Patent and Trademark Office by Application No. 10/551,392, filed September 29, 2005; and

WHEREAS, THE ROCKEFELLER UNIVERSITY, a corporation organized and existing under the laws of the State of New York, and having offices at 1230 York Avenue, New York, NY 10021, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the application, and any and all Letters Patent or similar legal protection, foreign or domestic, to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we transfer to Assignee, its successors and assigns, our entire right, title, and interest in and to the invention, the above-identified patent application, corresponding domestic applications (including divisionals, continuations, and continuations-in-part), corresponding foreign applications, all Letters Patent or similar legal protection issuing thereon, and all rights and benefits under any applicable treaty or convention; and we authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent thereof to issue the Letters Patent or similar legal protection to the Assignee.

We authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries.

We represent to the Assignee, its successors and assigns, that we have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. We, our executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.

ianzow str. 5  
0439 Berlin  
Germany  
DB

ASSIGNMENT	Attorney Docket No.: 11937/US/2	Page 2 of 4
	First Named Inventor: Markus Stoffel	
	Title: METHODS OF INHIBITING ADIPOGENESIS AND FOR TREATING TYPE 2 DIABETES	

We authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries.

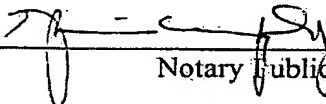
We represent to the Assignee, its successors and assigns, that we have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. We, our executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.

  
 \_\_\_\_\_  
 MARKUS STOFFEL

#### CERTIFICATE OF ACKNOWLEDGMENT

STATE OF New York )  
 )  
 COUNTY OF New York ) : SS.

<sup>2006</sup>  
 2005- Subscribed and sworn to before me, a Notary Public, this 28<sup>th</sup> day of June.

  
 \_\_\_\_\_  
 Notary Public

(SEAL)  
 GLORIA CHANG DIGENNARO  
 Notary Public, State of New York  
 No. 4884704  
 Qualified In New York County  
 Commission Expires Jan. 12, 20 07

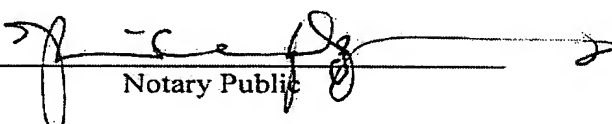
<b>ASSIGNMENT</b>	Attorney Docket No.: 11937/US/2	Page 3 of 4
	First Named Inventor: Markus Stoffel	
	Title: METHODS OF INHIBITING ADIPOGENESIS AND FOR TREATING TYPE 2 DIABETES	

  
 CHRISTIAN WOLFRUM

**CERTIFICATE OF ACKNOWLEDGMENT**

STATE OF New York )  
 COUNTY OF New York ) : SS.

Subscribed and sworn to before me, a Notary Public, this 28<sup>th</sup> day of June, 2005.


  
 Notary Public  
 (SEAL) GLORIA CHANG DIGENNARO  
 Notary Public, State of New York  
 No. 4884704  
 Qualified In New York County  
 Commission Expires Jan. 12, 2007

  
 DAVID Q. SHIH

**CERTIFICATE OF ACKNOWLEDGMENT**

STATE OF California )  
 COUNTY OF Los Angeles ) : SS.

Subscribed and sworn to before me, a Notary Public, this 19<sup>th</sup> day of June, 2006.

  
 Notary Public  
 (SEAL) ANN B. OLIVER  
 Commission # 1374920  
 Notary Public - California  
 Los Angeles County  
 My Comm. Expires Sep 15, 2006

ASSIGNMENT	Attorney Docket No.: 11937/US/2	Page: 4 of 4
	First Named Inventor: Markus Stoffel	
	Title: METHODS OF INHIBITING ADIPOGENESIS AND FOR TREATING TYPE 2 DIABETES	

Satoru Kuwajima  
SATORU KUWAJIMA

CERTIFICATE OF ACKNOWLEDGMENT

STATE OF New York )  
COUNTY OF New York : SS. )

2006. Subscribed and sworn to before me, a Notary Public, this 28<sup>th</sup> day of June.

(SEAL)  
GLORIA CHANG DIGENNARO  
Notary Public, State of New York  
No. 4884704  
Qualified In New York County  
Commission Expires Jan. 12, 2007

[Signature]  
Notary Public

[Signature]  
DANIEL BESSER

16/8/2006

CERTIFICATE OF ACKNOWLEDGMENT

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ : SS. )

2005. Subscribed and sworn to before me, a Notary Public, this \_\_\_\_\_ day of \_\_\_\_\_.

(SEAL)

\_\_\_\_\_  
Notary Public

[Signature] 16/08/2006  
Angel Quiroga - Mayre'na  
- Witness -

16. 08. 06 [Signature]  
Torben Rodmer  
- Witness -